CLASSIFYING *-HOMOMORPHISMS

JOINT WORK WITH J. GABE, C. SCHAFHAUSER, A. TIKUISIS, AND S. WHITE

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INTRODUCTION

Theorem ("Many hands")

*C**-algebras that are unital, simple, separable, nuclear, regular, and satisfy the UCT, are classified by K-theory and traces.

- *C**-analog of the classification of injective von Neumann factors: Murray-von Neumann, Connes, Haagerup.
- No traces: Kirchberg-Phillips (1990s).
- We focus only on the case $T(A) \neq \emptyset$.
- Classifying invariant:

$$\mathsf{Ell}(A) := \left(\mathsf{K}_0(A), \, [\mathbf{1}_A]_0, \, \mathsf{K}_1(A), \, \mathsf{T}(A), \, \mathsf{T}(A) \times \mathsf{K}_0(A) \to \mathbb{R} \right)$$

```
C(X) \rtimes G is...
```

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- ... in the UCT class, if G is amenable;

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- ... nuclear, if G is amenable;
- ... in the UCT class, if G is amenable;
- ... regular, if X is finite dimensional and G is f.g. nilpotent.

Finite nuclear dimension (Winter-Zacharias)

- dim_{nuc} A: noncommutative analog of covering dimension
- dim_{nuc} $C(X) = \dim X$
- \cdot range of Ell(–) exhausted by C*-algebras with $\text{dim}_{\text{nuc}} < \infty$

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\mathcal{Z} -stability: $A \cong A \otimes \mathcal{Z}$

- Jiang-Su algebra \mathcal{Z} : ∞ -dim'l analog of \mathbb{C} .
- $\mathcal{Z} \sim_{KK} \mathbb{C}$; has unique trace.
- $\boldsymbol{\cdot} \ \mathsf{Ell}(A) = \mathsf{Ell}(A\otimes \mathcal{Z})$

Theorem (Castillejos-Evington-Tikuisis-White-Winter)

For A is a unital, simple, separable, nuclear, nonelementary,

 $\dim_{\mathsf{nuc}} A < \infty \quad \Leftrightarrow \quad A \cong A \otimes \mathcal{Z}.$

Comments:

- · " \Rightarrow " is due to Winter
- Can remove "unital" from statement: Castillejos-Evington, Tikuisis.
- Conjecturally equivalent to a third condition: *strict comparison.* (True under mild trace hypotheses.)
- Proof developed important technique for handling complicated trace spaces.

Impossible to summarize decades of work in a slide. Some recent components:

Classification of "model" algebras

- Gong-Lin-Niu '15: classified C*-algebras with a certain internal tracial approximation structure.
- The class exhausts range of Ell(-).

Realizing the approximations

- Elliott-Gong-Lin-Niu '15: abstract conditions on a C^* -algebra \Rightarrow concrete tracial approximations of GLN.
- Tikuisis-White-Winter '17: the abstract conditions are the ones stated in the classification theorem.

We develop an alternate route to classification: beginning with von Neumann algebraic techniques inspired by work of Connes and Haagerup, we extend the *KK*-theoretic techniques recently developed by Schafhauser to prove classification theorems in an abstract setting.

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We will (mostly) ignore the difficulties that arise from non-separability or the lack of a unit.

CLASSIFYING MORPHISMS & ALGEBRAS

Theorem (Connes '76)

Injective von Neumann Algebras are AFD.

Corollary

A: nuclear C*-algebra; M: II₁ factor.

1. (existence) $\tau \in T(A) \implies \exists *-hom \ \varphi \colon A \to M \text{ s.t. } \tau_M \circ \varphi = \tau.$

2. (uniqueness) $\varphi, \psi \colon A \to M *\text{-hom's s.t. } \tau_M \circ \varphi = \tau_M \circ \psi$ $\implies \varphi \approx_u \psi \quad (in \| \cdot \|_2)$

Rough scheme

Produce invariant inv(-) s.t. (with abstract hypotheses on *A*, *B*):

• (existence)

 $\alpha \colon \operatorname{inv}(A) \to \operatorname{inv}(B) \implies \exists \varphi \colon A \to B \text{ s.t. } \operatorname{inv}(\varphi) = \alpha;$

• (uniqueness)

 $\varphi, \psi \colon A \to B \text{ and } \operatorname{inv}(\varphi) = \operatorname{inv}(\varphi) \implies \varphi \approx_u \psi.$

Intertwining: $inv(A) \cong inv(B) \implies A \cong B$.

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inv(-) will be more complicated than Ell(-).

Also want:

 $Ell(A) \cong Ell(B)$ yields $inv(A) \cong inv(B)$.

Definition

 $\underline{K}(A) = \bigoplus_{n=0}^{\infty} K_0(A; \mathbb{Z}/n\mathbb{Z}) \oplus K_1(A; \mathbb{Z}/n\mathbb{Z})$

Can think of $K_i(A; \mathbb{Z}/n\mathbb{Z})$ as $K_i(A \otimes \mathcal{O}_{n+1})$.

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Slogan

Can check "closeness" of $KK(\varphi)$ and $KK(\psi)$ by checking that $\underline{K}(\varphi)$ and $\underline{K}(\psi)$ agree on large finite subsets of $\underline{K}(A)$.

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 $CU^{\infty}(A)$ is the *closure* of the commutator subgroup of $U^{\infty}(A)$.

 $\overline{K}_1^{alg}(A)$ came up in Thomsen's work on the role of the relationship between and *K*-theory and traces in classification theory.

Has seen lots of use in classification (e.g. in GLN).

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commutes.

 $(\underline{\alpha}, \beta, \gamma)$ is *faithful and amenable* if $\gamma^*(T(E)) \subseteq T(A)$ consists of faithful amenable traces.

Theorem (C-Gabe-Schafhauser-Tikuisis-White)

- A : sep., exact, UCT
- B : sep., Z-stable, strict comparison w.r.t. T(B), T(B) ≠ Ø
 & compact
- $(\underline{\alpha}, \beta, \gamma)$: inv(A) \rightarrow inv(B) : compatible triple that is faithful and amenable

Then:

- \exists full[†] nuclear *-hom. $\varphi : A \rightarrow B$ s.t. $inv(\varphi) = (\underline{\alpha}, \beta, \gamma);$
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The (unital) C*-algebras in

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are precisely those we wanted to classify on first slide.

 \Rightarrow Classification of algebras via inv(-). Can deduce classification of algebras via Ell(-). The (unital) C*-algebras in

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⇒ Classification of algebras via inv(−). Can deduce classification of algebras via Ell(−).

Future goal: move more hypotheses (regularity?) to the morphisms, allowing even more general algebras.

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- Γ : amenable group; τ : canonical trace on $C_r^*(\Gamma)$
 - Higson-Kasparov: Γ satisfies Baum-Connes.
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STRATEGY

Will prove classification of maps $A \rightarrow B_{\infty}$.

The trace-kernel ideal is

$$J_B := \{ (x_n) \in B_{\infty} : \lim_{n \to \infty} \|x_n\|_{2,u} = 0 \},\$$

Will prove classification of maps $A \rightarrow B_{\infty}$.

The trace-kernel ideal is

$$I_B := \{ (x_n) \in B_\infty : \lim_{n \to \infty} ||x_n||_{2,u} = 0 \},$$

where $||x||_{2,u} = \sup_{\tau \in T(B)} \tau(x^*x)^{1/2}.$

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The trace-kernel extension is

$$0 \to J_B \to B_{\infty} \to B^{\infty} \to 0.$$

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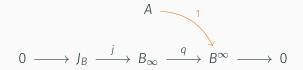
$$0 \to J_B \to B_\infty \to B^\infty \to 0.$$

Conditions on $B \rightsquigarrow J_B$ nice enough to employ KK machinery $\rightsquigarrow B^{\infty}$ behaves like a finite vNa (sort of)

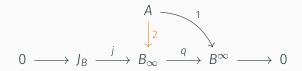
APPROXIMATE CLASSIFICATION OF MORPHISMS: MAJOR STEPS

А

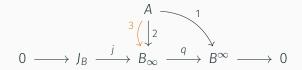
$0 \longrightarrow J_B \xrightarrow{j} B_{\infty} \xrightarrow{q} B^{\infty} \longrightarrow 0$



1. Classify morphisms into B^{∞} (von Neumann techniques)



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- 2. Classify lifts of morphisms to B_{∞} (Ext, *KK* techniques)



- Classify morphisms into B[∞] (von Neumann techniques)
- 2. Classify lifts of morphisms to B_{∞} (Ext, *KK* techniques)
- 3. Deal with KK-theory, exploiting J_B

Theorem (Castillejos-Evington-Tikuisis-White $+\cdots$)

Let A: exact; B: \mathcal{Z} -stable, $T(B) \neq \emptyset$ & compact,

 $\mathfrak{t}: T(B^{\infty}) \to T_{\text{amenable}}(A)$ continuous affine.

$$\Longrightarrow \exists nuclear *-hom. \ \theta: A \to B^{\infty}$$

s.t.
$$\tau \circ \theta = \mathfrak{t}(\tau) \quad \forall \ \tau \in T(B^{\infty}).$$

 θ is unique up to unitary equivalence.

Compare with classifying maps from nuclear C*-alg's into II₁ factors. In fact: if $T(B) = \{\tau\}$, B^{∞} is essentially a vNA ultrapower of $\pi_{\tau}(B)''$.

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Theorem (Existence for lifts)
```

 $\begin{array}{l} \theta \colon A \to B^{\infty} \ full \ nuclear \ ^{*}\text{-hom};\\ \kappa \in \mathsf{KK}(A, B_{\infty}), \quad [q]_{\mathsf{KK}} \kappa = [\theta]_{\mathsf{KK}}\\ \Longrightarrow \exists \ full \ nuclear \ lift \ \varphi \colon A \to B_{\infty} \ of \ \theta\\ \text{ s.t. } \ [\varphi]_{\mathsf{KK}} = \kappa. \end{array}$

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(Very) roughly:

• θ determines a pullback extension e_{θ} whose class in Ext(A, J_B) vanishes.

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- θ determines a pullback extension e_{θ} whose class in $Ext(A, J_B)$ vanishes.
- · $[e_{\theta}] = 0 \implies e_{\theta} \oplus (\text{trivial extension}) ≈ a \text{ split extension}.$

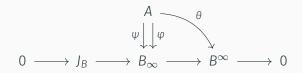
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- $\cdot \ [e_{\theta}] = 0 \implies e_{\theta} \oplus (trivial extension) \approx a \text{ split extension}.$
- Weyl-von Neumann-Voiculescu type absorption theorems $\implies e_{\theta} \oplus (\text{trivial extension}) \approx e_{\theta}.$

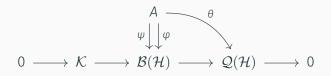
What if we have two (nuclear) lifts φ and ψ of θ ?



Under these hypotheses:

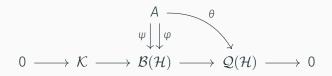
- get class $[\varphi, \psi] \in KK(A, J_B)$
- + $[\varphi, \psi]$ determines when φ, ψ are approx. unitarily equiv.

Think of Voiculescu's Theorem:



If φ, ψ are "admissible" (faithful, nondegenerate, and $\varphi(A) \cap \mathcal{K} = \{0\} = \psi(A) \cap \mathcal{K}$), then $\varphi \approx_u \psi$.

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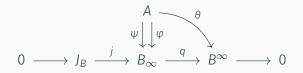
More can be said:

Theorem (Dadarlat-Eilers '01)

Suppose: $\varphi, \psi \colon A \to \mathcal{B}(\mathcal{H})$ are admissible lifts of θ .

Then:

 $[\phi,\psi]=0\in \textit{KK}(A,\mathcal{K}) \implies \phi \approx_u \psi \text{ via unitaries in } \mathcal{K}+\mathbb{C}\mathbf{1}_{\mathcal{H}}\,.$



Theorem (Uniqueness for lifts; CGSTW)

- A: sep. exact;
- B: *Z*-stable, strict comparison w.r.t. T(B), $T(B) \neq \emptyset$ & cpt;
- φ, ψ : unitizably full, nuclear lifts of θ .

 $[\varphi, \psi] = 0 \in KK(A, J_B) \implies \varphi \approx_u \psi \text{ via unitaries in } \widetilde{J}_B.$

Consider maps

$$\begin{array}{c} A \\ \psi \downarrow \downarrow \varphi \\ 0 \longrightarrow J_B \xrightarrow{j} B_{\infty} \xrightarrow{q} B^{\infty} \longrightarrow 0 \end{array}$$

agreeing modulo J_B.

Solutions to lifting problems were encoded in certain KK classes. Want to compute this information in terms inv(-).

For instance, can inv detect when $[\varphi, \psi] \in KK(A, J_B)$ vanishes?

\exists morphism

$$j_* \colon KK(A, J_B) \to \operatorname{Hom}_{\Lambda}\left(\underline{K}(A), \underline{K}(B_{\infty})\right)$$
$$[\varphi, \psi] \mapsto \underline{K}(\varphi) - \underline{K}(\psi)$$

induced by $j: J_B \to B_\infty$.

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 $\underline{K}(\varphi) = \underline{K}(\psi)$ doesn't guarantee $[\varphi, \psi] = 0$.

Subtle obstruction:

Even if $\varphi(u) \sim_h \psi(u)$ is true for $u \in U(A)$, the path ξ connecting them might have nonzero "winding number".

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 \rightsquigarrow rotation map $R([\varphi, \psi])$; (roughly) assigns the function

$$\tau \mapsto \frac{1}{2\pi i} \int_0^1 \tau \left(\frac{d\xi(t)}{dt} \xi(t)^{-1} \right) dt$$

to $[u] \in K_1(A)$. This is an element of Aff $T(B_{\infty})$.

Want to encode this additional obstruction to $[\varphi, \psi] = 0$ using \overline{K}_1^{alg} .

Idea: use Thomsen's map

$$\operatorname{Aff} T(B_{\infty}) \to \overline{K}_{1}^{\operatorname{alg}}(B_{\infty})$$

which, given $h = h^* \in B_{\infty}$, maps \hat{h} to $[e^{2\pi i h}]_{alg}$.

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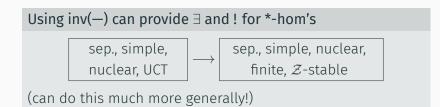
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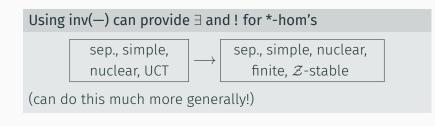
Assuming $\underline{K}(\varphi) = \underline{K}(\psi)$,

 $\overline{K}_1^{\text{alg}}(\varphi) - \overline{K}_1^{\text{alg}}(\psi) = 0 \quad \Longrightarrow \quad R\big([\varphi, \psi]\big) = 0 \quad \Longrightarrow \quad [\varphi, \psi] = 0.$

This let us access the classification theorem for lifts.

SUMMARY





Can use this to classify algebras

... even in the non-unital setting.

Theorem

Suppose A and B are non-unital, simple, separable, nuclear, *Z*-stable C*-algebras satisfying the UCT.

Any isomorphism $Ell(A) \xrightarrow{\sim} Ell(B)$ lifts to an isomorphism $A \xrightarrow{\sim} B$.

THANK YOU!